



#4  
J. Brin  
8/01/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Robert A. Morgan et al.

Serial No.: 09/751,423

Examiner Unknown

Filed: December 29, 2000

Group Art Unit 2872

For: SPATIALLY MODULATED REFLECTOR FOR AN OPTOELECTRONIC  
DEVICE

Docket No.: 1100.1114101 (H16-26549)

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO: ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, D.C. 20231

12th DAY OF June, 2001.  
Brian N. Tuft

Dear Sirs:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Robert A. Morgan et al.

By their attorney,

Dated: June 13, 2001

Brian N. Tuft, Reg. No. 38,638  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

RECEIVED  
JUN 25 2001  
TECHNOLOGY CENTER 2800



2872

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Robert A. Morgan et al.

Serial No.: 09/751,423

Examiner Unknown

Filed: December 29, 2000

Group Art Unit 2872

For: SPATIALLY MODULATED REFLECTOR FOR AN OPTOELECTRONIC DEVICE

Docket No.: 1100.1114101 (H16-26549)

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 12th day of June, 2001.

By

Brian N. Taft

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		x9=	\$	x18=	\$
INDEPENDENT CLAIMS	-	=		x39=	\$	x78=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+130=	\$	+260=	\$
TOTAL				\$		\$	

RECEIVED  
JUN 25 2001  
TECHNOLOGY CENTER 2800

- [ ] A check in the amount of \$\_\_\_\_\_ is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [ X ] Other: Supplemental Information Disclosure Statement, PTO Form-1449 and cited references
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

Brian N. Tufte

Reg. No. 38,638

Brian N. Tufte  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South  
Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349